

**KRISTIN N. JOHNSON**  
*Seton Hall University School of Law*  
*One Newark Center, Newark, NJ 07102*  
Kristin.Johnson@shu.edu

## **ACADEMIC APPOINTMENTS**

**SETON HALL UNIVERSITY SCHOOL OF LAW**, Newark, NJ

*Associate Professor of Law* (2008-2013); *Professor of Law* (2013- Present)

Student Bar Association Nominee for Professor of the Year (2011)

Institute for Privacy Protection, *CyberSecurity and Financial Markets, Faculty and Researcher* (2016-Present)

**Courses:** Business Associations; Securities Regulation; The Law of Governance, Compliance and Risk Management; Banking and Financial Institutions Regulation; Accounting for Lawyers; Issues in Corporate Governance; Financial Concepts for Lawyers: An Introduction to Corporate Finance

**JOURNAL OF INTERNATIONAL ECONOMIC LAW**, *Board* (2015-Present)

**UNIVERSITY OF ILLINOIS**, Champaign, IL, *Visiting Professor*

(Spring 2015)

**Courses:** Securities Regulation; The Law of Governance, Compliance and Risk Management

**UNIVERSITY OF FLORIDA**, Gainesville, FL, *Visiting Professor*

(Spring 2014)

**Courses:** Corporations; Regulating Banking and Financial Institutions

## **SERVICE**

Dean Search Committee (2014-2015); Appointments, Chair (2015-2016); Appointments Committee (2016-Present); Budget Committee (2011-2013; 2016-Present); Diversity Council, Chair (2016-Present); Contract Renewal (2015-2016); Admissions (2010-2014); Academic Credentials (2009- 2014); Graduation, (2008-2011); Clerkships (2008-2009)

**Faculty Advisor:** Investor Advocacy Project funded by \$250,000 grant from Financial Industry Regulatory Authority (FINRA) Investor Education Foundation (participated in grant application process) (2010-Present); Black Law Students Association (2008-Present); Seton Hall Law Review Symposium (2009)

**External Service:** *AALS Service:* Business Associations Section (2014-Present); Minority Groups Section (Chair, 2015-2016; Executive Committee 2011-Present); Financial Institutions and Consumer Financial Services (2013-2015); National Business Law Scholars Conference Board (Chair, 2014-2015; Board, 2012- Present); AALS Mid-Year Corporate and Finance Law Workshop, Planning Committee (2013)

## **SELECTED PUBLICATIONS**

*Managing Cyber Risks*, 50 GA. L. REV. 547 (2016)

*The Limits of Compliance: Cyber Risk Regulation*, 83 U. CIN. L. REV. \_\_\_\_ (*Forthcoming 2016*) (solicited)

*Diversifying To Mitigate Risk*, 73 WASH. & LEE L. REV. \_\_\_\_ (*Forthcoming 2016*) (co-authored)

*Banking On Diversity: Does Gender Diversity Improve Financial Firms' Risk Oversight*, 69 SMU L. REV. \_\_\_\_ (*Forthcoming 2016*)

*Governing Financial Markets: Regulating Conflicts of Interest*, 88 WASH. L. REV. 185 (2013)

*Macroprudential Regulation: A Sustainable Approach to Regulating Financial Markets*, 2013 U. Ill. L. Rev. 881 (2013), (solicited), reprinted in the Securities Law Review (2014)

*Things Fall Apart: Regulating Credit Default Swaps*, 82 U. COLO. L. REV. 168 (2011) (cited in *Bloomberg v. Commodity Futures Trading Comm.*, No. 13-523, Mem. Op. at 37 (D.C. Jun. 7, 2013)

*Addressing Gaps in The Dodd-Frank Act: Directors' Risk Management Oversight Obligations*, 45 U. MICH. J. L. REF. 55 (2011)

*From Diagnosing the Dilemma to Divining a Cure: Post-Crisis Regulation of Financial Markets*, 40 SETON HALL L. REV. 1299 (2010) (solicited)

*Amicus Curiae Brief to the United States Supreme Court on Behalf of the University of Michigan Law School Asian Pacific American Law Student Association, the Black Law Student Association, the Latino Law Student Association, and Native American Law Student Association in Grutter v. Bollinger*, 10 MICH. J. GENDER & L. 1 (2003) (Contributing Author).

*Resolving the Title VII Partner-Employee Debate*, 101 MICH. L. REV. 1067 (2003)

## **BOOK CHAPTERS**

*Innovating Heists: Regulating Cyber Threats in the Financial Services Industry* in MOST IMPORTANT CONCEPTS IN FINANCE, EDWARD ELGAR (forthcoming 2017)

*Regulating Derivatives: Exploring the Limitations of Conventional Approaches*, IN LAW & ECONOMICS: CONTEMPORARY APPROACHES, ed. Martha McCluskey, Frank Pasquale, and Jennifer Taub (forthcoming 2016)

## **AWARDS**

Yale School of Management, Millstein Center for Corp. Governance, Rising Star Award, Nominee (2011)  
Student Bar Association Nominee for Professor of the Year (2011)

## **LEGAL AND RELEVANT EXPERIENCE**

**J.P. Morgan Chase & Co.**, New York, NY, *Assistant General Counsel and V.P.* (2007 – 2008)

**Simpson Thacher & Bartlett LLP**, New York, NY & London, England (2002; 2003 - 2004; 2005 –2007)  
*Summer Associate; Associate – Capital Markets, Mergers & Acquisitions, Credit and Banking*

**Goldman, Sachs & Co.**, New York, NY *Analyst – Investment Management Division* (1999 – 2000)

## **EDUCATION**

**THE UNIVERSITY OF MICHIGAN LAW SCHOOL**, Ann Arbor, MI (2003)  
*J.D.*; Senior Editor, Michigan Law Review; *Awards*: Bodman-Longley Award; Clara Belfield & Henry Bates Overseas Research Fellowship (Havana, Cuba); Butch Carpenter Scholar

**GEORGETOWN UNIVERSITY**, *Edmund Walsh, School of Foreign Service*, Washington, D.C. (1999)  
*B.S., cum laude*, Comparative Political Economy; Dean Peter F. Krogh Scholar;  
*Awards & Activities*: Phi Alpha Theta, Elected President School of Foreign Service Academic Council

**PONTIFICIA UNIVERSIDAD CATÓLICA DEL ECUADOR**, Quito, Ecuador (Spanish Language Certificate) (1997)

**L'INSTITUT DE TOURAIN**, Tours, France (French Language Certificate) (1998)

## **JUDICIAL CLERKSHIP**

*Joseph A. Greenaway, Jr.*, District of N.J., *elevated to* U.S. Court of Appeals, Third Circuit (2004 –2005)

## **RESEARCH-IN-PROGRESS**

*Regulating CyberSecurity in Financial Markets*

*Privacy Law in International Financial Markets*

*The Limiting Principle: Extraterritorial Regulation of Swaps Markets*

*Shadow Banking and Systemic Risk Regulation: A Macroprudential Approach*

## SELECTED CONFERENCES/ INVITED PRESENTATIONS

- Presenter, *Regulating CyberSecurity in Financial Market*, Loyola Law School (Chicago), October 6, 2016
- Presenter, *The Limits of Compliance: Cyber Risk Regulation*, University of Iowa, July 7, 2016
- Presenter, *Managing Cyber Risks*, University of Chicago Law School, National Business Law Scholars Conference, June 24, 2016
- Presenter, *Diversifying to Manage Risk*, Maurer, Indiana University Maurer School of Law, Nov. 4, 2015
- Presenter, *Diversifying to Manage Risk*, University of Miami School of Law, Oct. 12, 2015
- Presenter, *Regulating Cyber Risks*, University of Alabama, October 8, 2015
- Presenter, *Regulating Cyber Risks*, Texas A&M University School of Law, Sept. 23, 2015
- Presenter, *Extraterritorial Regulation in Financial Markets* Vanderbilt University Law School, July 8, 2015
- Presenter, *Extraterritorial Regulation in Financial Markets*, National Business Law Scholars Conference, June 5
- Presenter, *Diversifying to Manage Risks*, Law & Society, Seattle, WA, May 28, 2015
- Presenter, *Regulating Cyber Risks*, University of Georgia Law School, March 19, 2015
- Presenter, *The Limits of Compliance: Cyber Risk Regulation*, University of Cincinnati, March 13, 2015
- Presenter, *Extraterritorial Regulation in Financial Markets* University of California (Davis), March 3, 2015
- Presenter, *Extraterritorial Regulation in Financial Markets*, Seattle University School of Law, March 2, 2015
- Presenter, *Extraterritorial Application of Title VII of the Dodd-Frank Act*, University of Wisconsin Law School, Madison, WI (June 28, 2014)
- Presenter, *Regulating Complexity in Modern Financial Markets*, Loyola University Law School, Los Angeles, CA (June 20, 2014)
- Presenter, *Developing Regulation Post-Crisis*, AALS Mid-Year Corporate Law Conference, Washington, D.C. (June 9, 2014)
- Presenter, *Regulating Complexity in Modern Financial Markets*, University of California-Irvine, Irvine, CA (January 2014)
- Presenter, *Extraterritorial Application of Title VII of the Dodd-Frank Act*, Washington & Lee University Law School, Lexington, Virginia (November 19, 2013)
- Presenter, *Extraterritorial Application of Title VII of the Dodd-Frank Act*, University of Indiana, Bloomington, Indiana (November 7, 2013)
- Presenter, *Understanding Transactional Lawyers Ethical Obligations In Complex Financial Markets*, American University, Washington College of Law, Washington, D.C. (April 5, 2013)
- Presenter, *Complexity, Complacency, and Collaboration: International Regulation of Financial Markets*, Fordham University Law School, New York, NY (March 21, 2013)
- Presenter, *Governing Financial Markets: Regulating Conflicts of Interest*, S.J. Quinney College of Law, University of Utah Law School, Salt Lake City, Utah (February 20, 2013)
- Presenter, *Governing Financial Markets: Regulating Conflicts*, Ohio State University, Moritz College of Law, Ohio (December 4, 2012)

Presenter, *Regulating Risk: The Promise of “New Governance,”* Georgetown University Law Center, Washington, D.C. (February 3, 2012)

Commentator, *Implementing the Dodd-Frank Amid Reform Fatigue*, Section on Financial Institutions at the AALS ANNUAL MEETING, Washington D.C. (January 8, 2012)

Commentator, *Corporate Accountability in the Post Financial Crisis Era*, Society of Socio-Economists, Annual Meeting, Washington D.C. (January 4, 2012)

*Hedging vs. Speculation: Market Efficiency, Social Perceptions, and Legal Distinctions*, Canadian Law & Economics Association Annual Conference, Toronto, Canada (September 23, 2011)

Commentator, *Fordham Inaugural Global Finance Symposium: International Perspectives on Derivative Regulation*, Fordham University London Centre, London, England (June 10, 2011)

Commentator, *Responding to Abraham L. Pomerantz Lecture delivered by Frank Partnoy: Don't Blink: Snap Decisions and Securities Regulation*, Brooklyn Law School (March 15, 2011)

SEC/CFTC Joint Roundtable, *Issues Related to Clearing Credit Default Swaps* (a roundtable to assist the agencies in the rulemaking process to implement the Dodd-Frank Wall Street Reform and Consumer Protection Act), The Commodity Futures Trading Commission, Washington, D.C. (October 22, 2010)

*Ciudadanas de la Nación: Los Derechos de las Mujeres Cubanas*, Legal History Roundtable, Santiago, Cuba (September 13, 2001)

### **BLOGGING**

The Conglomerate (Guest Blogger – 2009)

Concurring Opinions (Guest Blogger –2010)

### **PROFESSIONAL SERVICE**

Panelist, *Roundtable Discussion on Current Issues in the Financial Services*, The Congressional Black Caucus, NYSE Euronext, and “Wall Street in the Black,” by invitation from Congressman Gregory W. Meeks, September 25, 2009.

*Roundtable Discussion on Current Issues in The Financial Services* hosted by the National Association of Securities professionals - NY Town Hall Meeting, Monday, June 29, 2009

### **MEDIA COMMENTARY**

*Bloomberg, New Jersey Star Ledger, National Public Radio*

### **BAR ADMISSIONS**

New York (2004)

### **LANGUAGES**

Spanish (Fluent), French (Conversational), Portuguese (Conversational)