



Compliance Monitoring and Risk Mitigation

February 10, 2017

PROGRAM EVALUATION FORM

Please complete both sides of this form and return it at the end of the conference day. Thank you!

PANEL I: Best Practices for Risk Assessment

Please Grade from 1-5 (5 = best)

Overall Value of the Panel Discussion:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1
Presentation Quality of Jennifer Romanski:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1
Presentation Quality of Sergio Alegre:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1
Presentation Quality of Leslie Gladstone Restaino:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1
Presentation Quality of Raegan McClain:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1

PANEL II: The Evolution of Company Compliance Programs with an Eye Towards Monitoring

Please Grade from 1-5 (5 = best)

Overall Value of the Panel Discussion:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1
Presentation Quality of Charlene Davis:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1
Presentation Quality of Lawrence Platkin.:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1
Presentation Quality of Alexis Stroud:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1
Presentation Quality of Chrissy Bradshaw:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1
Presentation Quality of Brian Sharkey:	<input type="checkbox"/> 5 <input type="checkbox"/> 4 <input type="checkbox"/> 3 <input type="checkbox"/> 2 <input type="checkbox"/> 1

- Please complete both sides-
THANK YOU!

PANEL III: Practice vs. Reactive – A Changing world for Compliance Officers

Please Grade from 1–5 (5 = best)

Overall Value of the Panel Discussion: ☐5 ☐4 ☐3 ☐2 ☐1

Presentation Quality of Richard Eschle: ☐5 ☐4 ☐3 ☐2 ☐1

Presentation Quality of Jill Fallows Macaluso: ☐5 ☐4 ☐3 ☐2 ☐1

Presentation Quality of Edward Crowe: ☐5 ☐4 ☐3 ☐2 ☐1

Presentation Quality of Bill McKenzie: ☐5 ☐4 ☐3 ☐2 ☐1

IV. Quality of written/presentation materials: ☐5 ☐4 ☐3 ☐2 ☐1

Additional comments:

V. The overall value of the program was: ☐5 ☐4 ☐3 ☐2 ☐1

Additional comments:

VI: Other legal and compliance related topics that I am interested in learning about:

VII. Please share any additional comments about the program (content, venue, speakers, etc.) below:

*- Please complete both sides-
THANK YOU!*