

## Gregory Lisa

Partner

Washington, D.C.

New York

### Biography

Gregory Lisa uses his extensive firsthand experience in anti-money laundering and consumer protection to help Financial Institution clients navigate the complex regulations and expectations of regulators, examination teams, and law enforcement agencies, civil and criminal.

In addition to working with established financial institutions, such as banks and credit unions, casinos, and money services businesses, Greg also represents and counsels financial services innovators, including emerging payment and finance systems, virtual currency companies, and other new financial services companies, particularly in the FinTech space.

Before joining the firm, Greg was the Interim Director of the Office of Compliance and Enforcement at the Financial Crimes Enforcement Network (FinCEN), the Treasury Department's lead regulator for overseeing and enforcing anti-money laundering laws. Greg also served as the Chief of the Money Services Businesses and Casinos Section within FinCEN's Enforcement Division. During his tenure, he supervised and conducted a number of investigations, supervisory exams, and enforcement actions across FinCEN's broad jurisdiction, including joint investigations into large depository institutions, global securities firms, casinos,



### Phone

+1 202 637 3647 (Washington, D.C.)

+1 212 918 3644 (New York)

### Fax

+1 202 637 5910 (Washington, D.C.)

+1 212 918 3100 (New York)

### Email

[gregory.lisa@hoganlovells.com](mailto:gregory.lisa@hoganlovells.com)

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### Practices

Gaming Law

Investigations, White Collar Crime,  
and Fraud

International Trade and Investment

Banking and Finance Litigation

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### Industries

Financial Institutions

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### Areas of focus

Anti-money Laundering

cryptocurrency exchangers, and other financial institutions.

Prior to his work at FinCEN, Greg served in the Office of Enforcement at the Consumer Financial Protection Bureau (CFPB). As one of its earliest members, having joined before the actual formation of the CFPB, he helped to "stand up" the Bureau's enforcement program. Greg conducted and led a wide range of enforcement investigations relating to mortgages, student loans, credit cards, debt collection, and a host of other matters covered by the Dodd-Frank Act.

He is the author of several gaming-related publications, a frequent speaker and panelist at casino conferences, and other gaming-related events.

## Representative experience

Counseling a foreign financial institution regarding applicability of U.S. anti-money laundering regulations to its business practices.

Defending the owner of a major cryptocurrency platform in an investigation brought by the Securities and Exchange Commission.

Advising a casino regarding compliance with the Bank Secrecy Act, know-your-customer requirements, and suspicious activity reporting requirements.

Representing a casino in an investigation by the Financial Crimes Enforcement Network (FinCEN).

Drafting key components of a casino's anti-money laundering compliance program and policies.

Advising a casino regarding a "look-back" involving potentially suspicious transactions.

Counseling a multinational manufacturer, with a presence on six continents, regarding trade-based money laundering and payments issues.

Representing an e-commerce company in responding

Financial Services Regulatory  
Investigations and Enforcement

Consumer Finance Litigation

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## Education and admissions

### Education

J.D., Georgetown University Law Center, cum laude, 1996

M.A., University of Pennsylvania, cum laude, 1993

B.A., Georgetown University, cum laude, 1990

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## Memberships

Member, Advisory Committee of the Institute for Certified Gaming Industry Professionals

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## Bar admissions and qualifications

District of Columbia

Maryland

New York

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## Court admissions

U.S. Court of Appeals, Sixth Circuit

U.S. Court of Appeals, Ninth Circuit

U.S. District Court, District of Columbia

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to multiple regulatory inquiries relating to AML and money transmission regulatory compliance issues.

Advising a foreign private bank regarding anti-money laundering issues relating to its customers' source of funds.

Investigated and prosecuted the first civil enforcement action for Bank Secrecy Act violations ever brought against a virtual currency company.\*

Supervised every civil enforcement action brought by FinCEN for BSA violations against banks, securities broker/dealers, casinos, and other financial institutions.\*

\*Matter handled prior to joining Hogan Lovells.

## Latest thinking and events

- Hogan Lovells Publications
  - U.S. government announces enhanced due diligence process for humanitarian trade with Iran and identifies Iran as a jurisdiction of primary money laundering concern *International Trade Alert*
- Hogan Lovells Engage
  - U.S. SEC, CFTC and FinCEN join forces on crypto
- Hogan Lovells Publications
  - IRS renews focus on cryptocurrency-related offenses
- Hogan Lovells Engage
  - FATF issues new rules for the crypto sector
- Hogan Lovells Publications
  - Implications from New Hampshire Lottery Commission v. Barr
- Hogan Lovells Engage
  - U.S. SEC Commissioner concerned lack of clear guidelines is stifling crypto industry